

## On the Availability of Replicated Contents in the Web

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**Abstract.** *This study considers the problem of locating proxies in the Web in order to maximize object availability. A read one/write-all protocol is used and a placement based on the Dynamic Programming (DP) technique is presented. The study then derives the properties of the resulting replicated system and studies its availability as a function of the read write ratio for uniform client requests.*

**Keywords:** *Tree network, Dynamic programming, object availability, Web.*

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### 1. Introduction

Being able to continue to provide services despite failures is one of the main advantages that replicated systems claim over single copy systems. In fact, the use of replication is attractive due to the potential improvement in availability, fault-tolerance, and performance[1,2,4,5,6,9]. Modelling and evaluation of replicated systems in the Internet is an important step in the design process of such systems. This study considers the problem of locating replicas in the Web in order to maximize object availability assuming a read-one/write-all protocol, proposes a placement algorithm, derives some properties of the resulting replicated system, and analyses its availability as a function of the read write ratio for uniform client requests. In such a protocol, a read request from a given client is serviced by the first proxy met along the way from the client to the target server. A write request from a client is first applied to the first proxy met while traveling up the tree to the target server and then propagated to the rest of the proxies.

The approach we adopt uses the dynamic programming technique (D.P). It builds on the work done by Bo.Li et al.[8] where they address the optimal placement of proxies in a tree network to optimise the performance of the Web. The novelty of our solution however is that it studies the availability of the Web instead of its performance. It also takes into account the capacity constraint of the nodes which they ignored in their work. Considering the capacity of the nodes is very important because the number of requests serviced by a node

affects the quality of service (QoS) (e.g. response time) provided to the requesting clients.

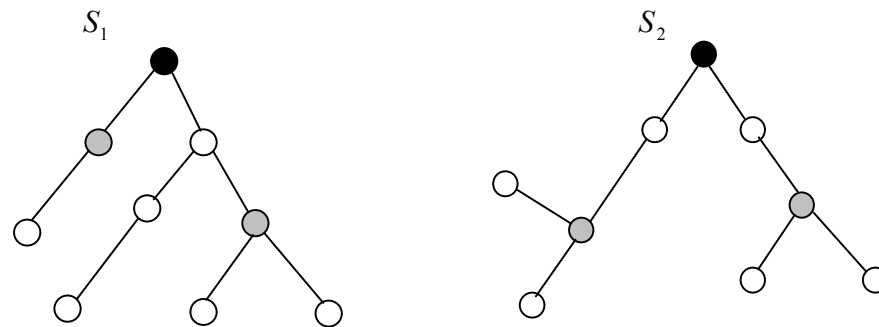
The rest of the study is organised as follows. Section 2 presents some work related to availability of replicated systems. Section 3 briefly describes the system model. Section 4 presents a technique for finding the optimal placement of a given number of proxies in a tree network that maximizes object availability. Section 5 presents an algorithm for finding the required number and placement of proxies that provides maximum availability. Section 6 derives the properties of the proposed algorithm. Section 7 analyses the availability offered by the algorithm for uniform client requests with a varying probability of link failure. Finally, Section 8 concludes the study.

### 2. Related Work

Most existing research work on availability in replicated systems has been conducted in the context of distributed systems [2,12]. To the best of our knowledge, there has been hardly any study that has considered the Web.

The authors in [4] have discussed the problem of placing data replicas in a ring network to maximize data availability. They have proved that the equal spacing placement is optimal for read-dominant systems, while the grouping placement is optimal for write-dominant systems. The drawback of this work is that it assumes a ring network, which is not suitable for an Internet environment.

In [9], a similar study has been carried out where the topology of the network is a tree. The objective is to maximize the probabilities of successful read-only and write-only transactions. The drawback of this research study is that it provides some properties for optimal placement without giving any real implementation results. A more related work is found in [10] where the authors have defined the core of a tree as a path for which the sum of distances is minimized. They have then defined a generalisation of a core, which they call a  $k$ -tree core of a tree as follows. Given a tree  $T$  and a parameter  $k$ , a  $k$ -tree core is a subtree  $T'$  of  $T$  containing exactly  $k$  leaves that minimizes  $d(T') = \sum_{v \in V(T)} d(v, T')$  where  $d(v, T')$  is the distance from vertex  $v$  to a subtree  $T'$ . They have then proposed two algorithms that find a  $k$ -tree core of a tree with  $n$  vertices in  $O(kn)$  and  $O(n \lg n)$ . Although this study has used similar assumption as in our work, their definition of the nearest replicas is different from ours. In our approach, each request from a client has to climb up the tree to the nearest proxy in the direction of the target server whereas in their approach the client reads from the nearest replica in any direction.



**Figure 1:** Two routing trees  $T_{s_1}$  and  $T_{s_2}$  for server  $S_1$  and  $S_2$  (dark) each with 2 proxies (gray)

Kumar and Segev [7] have formulated three models to study the tradeoffs between availability and communication cost. In each model, the objective is to find a voting assignment that minimises communication cost. The analysis of one model has revealed that the read-one-write-all algorithm is optimal when the majority of operations applied to the replicated object are read only operations. Analysis in the two other models has shown that when the fraction of writes is

relatively small, a voting assignment that satisfies a need for higher resiliency necessitates significantly greater communication cost.

### 3. The System Model

We consider the Web as of a collection of sites interconnected by a communication network. Objects are replicated at a number of sites and are managed by a group of processes called replicas, executing at the replica sites. The network topology is represented by a graph  $G = (V, E)$  where  $m = |V|$  is the number of nodes and  $E$  is the set of links. The nodes are routers or Web servers or combination of both (servers provide the information we are looking for). Links correspond to physical links. Given the stability of Internet routing [9], an object requested by a client  $c$  and located at server  $s$  travels through a path,  $s \rightarrow r_1 \rightarrow r_2 \dots \rightarrow r_n \rightarrow c$ , called preference path and denoted  $\pi(s, c)$ . This path consists of a sequence of nodes with the corresponding routers. Routes from  $s$  to the various clients form a routing tree along which requests are propagated. Consequently, for each Web server  $s$ , a spanning tree  $T_s$  rooted at  $s$  could be constructed to depict the routing tree, and the entire Web could be represented as a collection of such spanning trees, each routed at a given Web server (Fig 1).

Since an object from  $s$  to  $c$  passes by the nodes on the preference path  $\pi(s, c)$ , it would have been more secure if the request was serviced by one of the internal nodes in the path. In fact, the closer the data is on  $\pi(s, c)$  to  $c$ , the greater the benefits.

### 3.1 Assumptions

Our study is based on the following assumptions, which have been widely used in existing studies [3,8]

- The Web is structured as a set of trees.
- An edge in a given tree fails with probability  $p$ ,  $0 \leq p \leq 1$ . The failure of a node can be seen as a failure of an edge leading to that node.
- Each node  $v$  has a load  $\lambda_v$  (number of requests/sec.).
- Each node  $v$  has a capacity  $\kappa_v$  (number of requests/sec.) and the total loads of the clients serviced by  $v$  should not exceed  $\kappa_v$ .
- Objects accessed by clients are always available at proxies.

Table 1 provides the list of symbols used throughout this study.

As in [8], Consider a directed tree network  $T_s$  consisting of  $m$  nodes rooted at a server  $s$  and a residence set  $R$  of  $n$  proxy nodes replicating the target server  $s$ . Let  $T_s^R$  denote a replication tree of  $T_s$  which consists of the subtrees that spans all the proxies in  $T_s$  (Fig 2). The distance  $d(i, j)$  between any two nodes  $i, j \in T_s$  is the number of hops between  $i$  and  $j$  and the distance  $d(i, T_j)$  is between the node  $i$  and the tree rooted at  $j$ ,  $d(i, T_j) = \min_{u \in T_j} d(i, u)$ . A client at node  $i$  reads data located at the first proxy encountered while travelling to the target server  $s$  which is the root of the tree  $T_s$ . We refer to this proxy as the optimal proxy and denote it by  $p(i, s)$ . Let  $\rho_i$  be the total number of read requests from node  $i$  in a fixed time interval  $\theta$ , and similarly  $\omega_i$  be the total number of writes from node  $i$ . The total number of read/write requests from the  $m$  nodes is  $\tau = \sum_{i=1}^m (\rho_i + \omega_i)$ . A read request from a client  $i$  is serviced by  $p(i, s)$ . A write request from  $i$  is first applied to  $p(i, s)$  and then propagated to the rest of the proxies. Let  $r$  (resp  $w$ ) be the rate of reads (resp writes) with respect to  $\tau$ ,  $r = \sum_{i=1}^m \rho_i / \tau$ , and  $w = \sum_{i=1}^m \omega_i / \tau$ . The probability of success of a read request from node  $i$  over the residence set  $R$  can be

written as:

$$P_r(T_s, R, q) = q^{d(i, p(i, s))} \text{ where } q = 1 - p. \quad (1)$$

and the probability of success of a write request from node  $i$  is given by

$$P_w(T_s, R, q) = q^{d(i, p(i, s)) + l - 1}. \quad (2)$$

where  $l$  is the number of nodes of the tree  $T_s^R$ . Note that the write is performed at the first encountered proxy and then propagated to the  $n$  proxies over the tree  $T_s^R$ .

The availability,  $A(T_s, R, q)$ , of the whole system, which is the probability of successful reads or writes over the residence set  $R$  can be written as:

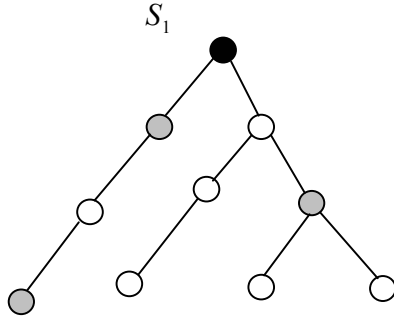
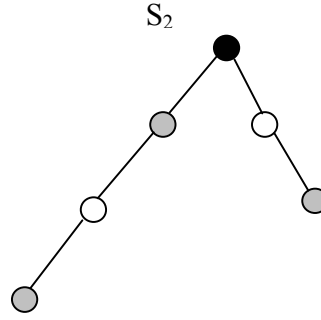
$$\begin{aligned} A(T_s, R, q) &= \sum_{i=1}^m \frac{\rho_i}{\tau} q^{d(i, p(i, s))} + \sum_{i=1}^m \frac{\omega_i}{\tau} q^{d(i, p(i, s)) + l - 1} \\ &= \frac{1}{\tau} \sum_{i=1}^m [(\rho_i + \omega_i q^{l-1}) q^{d(i, p(i, s))}] \end{aligned} \quad (3)$$

Let node  $v$  whose optimal proxy is  $u = p(v, s)$  imposes a load  $\lambda_v$  on  $u$ . Let  $\vec{\kappa}$  be a vector representing the capacities of all the nodes in the tree and  $\kappa_v$  be the capacity of the node  $v \in T_s$ . Taking the capacity constraint into account the set  $P_v$  of nodes whose optimal proxy is  $v$  should not impose a load that is greater than the capacity  $\kappa_v$  of  $v$ . Consequently, if  $P_v = \{x \in T_s : P(x, s) = v\}$ , the inequality  $\sum_{x \in P_v} \lambda_x \leq \kappa_v$  must be satisfied. Assuming a fixed number of proxies  $n \geq 1$ , let us find the residence set  $R$  that maximizes the availability  $A(T_s, R, q)$  over the tree  $T_s$ , taking into consideration the capacity constraints  $\vec{\kappa}$  of the proxies. The problem thus reduces to maximising the following expression:

$$\begin{aligned} \text{Max} A(T_s, q, \vec{\kappa}) &= \max_{R \subseteq V} A(T_s, R, q) \text{ subject to} \\ \sum_{x \in P_v} \lambda_x &\leq \kappa_v, \forall v \in R. \end{aligned} \quad (4)$$

## 4. Optimal Placement for a Fixed Number of Proxies

We use the dynamic programming technique to find the optimal number of proxies required in the system to maximize its availability. Given an integer  $1 \leq n \leq m$ , we are interested in finding a residence set  $R$  of size  $n$  that maximizes the above equation (4). Our approach is based upon the decomposition of the tree into three subtrees. A right subtree, whose availability is computed

Fig. 4.2.a: The Tree  $T_s$  with 3 proxy servers (gray)Fig. 4.2.b: The replication tree  $T_s^R$ 

recursively, a middle subtree whose availability has already been computed and a left subtree which is assumed to be empty of proxies, so that its availability can be easily computed.

Consider a tree  $T_s$  rooted at  $s$  with a set  $V$  of vertices. Assume that the children of each non-leaf vertex are ordered from left-to-right so that given any two siblings,  $u$  and  $v$ , we can determine whether  $u$  is to the left or right of  $v$ . Generally, given  $x$  and  $y$  in  $T_s$ ,  $x$  is said to be the left of  $x$  if there exist  $u$  and  $v$  such that  $x \in T_u$ ,  $y \in T_v$  and  $u$  and  $v$  are siblings with  $u$  being to the left of  $v$ . For  $v \in T_s$ , let  $T_v$  be the subtree of  $T_s$  rooted at  $v$ . For  $u \in T_v$ , we can partition  $T_v$  into 3 subtrees:

- 1 Subtree  $L_{v,u}$  containing all nodes to the left of  $u$ .
- 2 Subtree  $T_u$  containing all nodes in the subtree rooted at  $u$ .
- 3 Subtree  $R_{v,u}$  containing the rest of the nodes.

Formally, we have

- 1  $L_{v,u} = \{x : x \in T_v : x \text{ is to the left of } u\}$
- 2  $T_u = \text{subtree of } T_v \text{ rooted at } u$
- 3  $R_{v,u} = \{x : x \in T_v, x \notin T_u \cup L_{u,v}\}$ .

Figs. 3a, and 3b show a partitioning of the subtree  $T_v$  into three subtrees. The central approach of dynamic programming here is to divide the problem into small-scale sub problems. As a result,  $R_{v,u}$  is further partitioned into smaller subtrees. Given the recursive

nature of the solution, for all  $v$  in  $T_s$ , equation (4) applied to  $T_v$  yields:

$$\text{Max}A(T_v, q, \vec{\kappa}, n) = \max_{R \subset V, |R|=n} A(T_v, R, q) \text{ subject to}$$

$$\sum_{x \in P_v} \lambda_x \leq \kappa_v \quad \forall v \in R. \quad (5)$$

where  $\text{Max}A(T_v, q, \vec{\kappa}, n)$  is the maximum availability obtained by placing  $n$  proxies in  $T_v$  given the load capacity vector  $\vec{\kappa}$  of nodes in  $T_v$ . When  $n=1$ , the only proxy is always placed at the root  $v$ . When  $n > 1$ , we can always find a node  $u$ ,  $u \in T_v$ ,  $u \neq v$ , which satisfies

1. A proxy is placed at node  $u$ ;
2. No proxy is placed in  $L_{v,u}$ ;
3. No proxy is placed along  $\pi(u, v) - \{u, v\}$ , which is the shortest path between nodes  $u$  and  $v$  not considering the nodes  $u$  and  $v$ .

Suppose that  $T_v$  is partitioned at the node  $u$ , and that  $n'$  proxies are placed in  $T_u$ ,  $1 \leq n' \leq n-1$ , then  $n-n'$  proxies are placed in  $R_{v,u}$ . We can therefore write:

$$\begin{aligned} \text{Max}A(T_v, q, \vec{\kappa}, n) &= \sigma_{L_{v,u}} p(L_{v,u}, q, \kappa_v) + \\ &\sigma_{T_u} \text{Max}A(T_u, q, \vec{\kappa}, n') + \sigma_{R_{v,u}} \text{Max}A(R_{v,u}, q, \vec{\kappa}', n-n') \\ &+ wq^{d(u,v)} \end{aligned} \quad (6)$$

where  $p(L_{v,u}, q, \kappa_v)$  denotes the probability of accessing node  $v$  from all nodes in  $L_{v,u}$ ,

$$\text{and } \forall x \in R_{v,u} \quad \kappa'_x = \kappa_x \text{ and } \kappa'_v = \kappa_v - \sum_{x \in L_{v,u}} \lambda_x \quad (7)$$

$$\text{and } \sigma_{T_v} = \sum_{i \in T_v} (\rho_i + \omega_i) / \tau \quad (8)$$

For all  $n$  proxies, we need to consider all possible partitioning points  $u \in T_v$  and all possible  $n'$  values. Recursively, we put the proxies in  $T_u$  and  $R_{v,u}$  the same way as in  $T_v$ . The dynamic programming approach can thus be formulated by the following equations :

$$\text{MaxA}(T_v, q, \bar{\kappa}, n) = \begin{cases} \frac{1}{\tau} \sum_{i \in T_v} (\rho_i + \omega_i) q^{d(i,v)} & \text{if } n = 1, \text{ and } \sum_{x \in T_v} \lambda_x \leq \kappa_v \\ \min_{u \in T_v, 1 \leq n' \leq n-1} (\sigma_{L_{v,u}} p(L_{v,u}, q, \kappa_v) + \sigma_{T_u} \text{MaxA}(T_u, q, n', \bar{\kappa}) + \sigma_{R_{v,u}} \text{MaxA}(R_{v,u}, q, n-n', \bar{\kappa}') + wq^{d(u,v)}) & \text{if } n > 1 \text{ and } \sum_{x \in L_{v,u}} \lambda_x \leq \kappa_v \\ \infty \text{ Otherwise.} \end{cases} \quad (9)$$

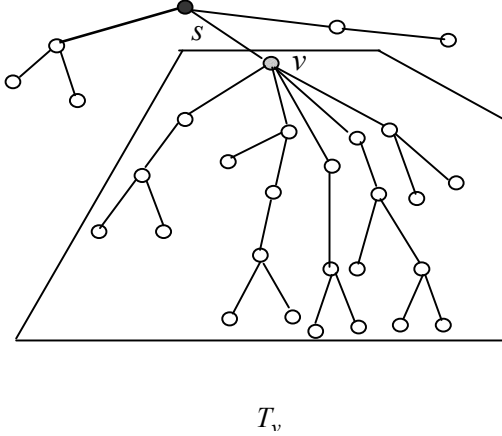


Fig. 3a: The subtree  $T_v$  rooted at  $v$ .

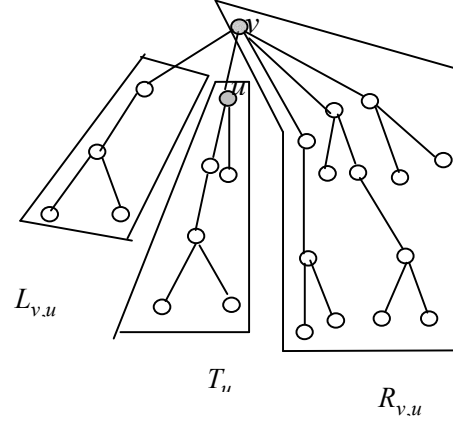


Fig. 3b Partitioning of  $T_v$  into  $L_{v,u}, T_u, R_{v,u}$

In equation (9), the probability  $p(L_{v,u}, q, \kappa_v)$  is defined only if the total load of the nodes in  $L_{v,u}$  is smaller than the capacity  $\kappa_v$  of the node  $v$ .  $\text{MaxA}(T_u, q, \bar{\kappa}, n')$  is recursively defined in  $T_v$  with the capacity constraints  $\bar{\kappa}$  relative to the nodes  $x \in T_u$ .  $R_{v,u}$  is further partitioned into  $L_{v,u,u'}, T_{u'}$ , and  $R_{v,u,u'}$  around the node  $u'$  where a proxy is put. The capacity constraints of  $R_{v,u}$  with respect to proxy  $v$  is the capacity vector  $\bar{\kappa}'$  obtained by subtracting from  $\kappa_v$  the total load imposed on  $v$  by the nodes in  $L_{v,u}$ .

**Theorem 1:** Given a directed tree  $T_s$  of size  $m$ , the solution formulated by equation (9) for finding a residence set  $R$  of size  $n$ ,  $R \subset T_s$  that maximizes the

availability of accessing objects in  $T_s$  has a complexity of  $O(m^3 n^2)$ .

**Proof:** As depicted in equation (9),  $\text{MaxA}(T_u, q, \bar{\kappa}, n)$  is defined for  $m^2$  entries. This is because there are  $m$  ways of choosing  $u$  and at most  $m$  ways of choosing

$u'$ . Moreover, for any of the  $m$  ways of choosing  $u$ , there are  $n-1$  different  $n'$  values. The same is true for the  $m$  ways of choosing  $u'$ . Since each entry requires  $O(m)$  times for its evaluation, the complexity of the algorithm is thus

$$O(((m)(m)(n-1)(n-1))(m)) = O(m^3 n^2) \blacksquare$$

## 5. Optimal Placement for an Arbitrary Number of Proxies

In general, given a tree  $T_v$  whose root  $v \in T_s$  is a proxy, we either place some proxies in  $T_v$  or none. Let  $A_1(T_v, q, \bar{\kappa})$  be the availability obtained after placing no proxies in  $T_v$  except the root proxy, and  $A_2(T_v, q, \bar{\kappa})$  obtained from the availability obtained after placing

some proxies in  $T_v$ . The maximum availability is given by

$$MaxA(T_v, q, \bar{\kappa}) = \max \{A_1(T_v, q, \bar{\kappa}), A_2(T_v, q, \bar{\kappa})\}, \quad (10)$$

where

$$A_1(T_v, q, \bar{\kappa}) = \frac{1}{\tau} \sum_{i \in T_v} (\rho_i + \omega_i) q^{d(i,v)}$$

subject to  $\sum_{x \in T_v} \lambda_x \leq \kappa_v$  (11)

$$A_2(T_v, q, \bar{\kappa}) = \sigma_{L_{v,u}} p(L_{v,u}, q, \kappa_v) + \sigma_{T_u} MaxA(T_u, q, \bar{\kappa}) + \sigma_{R_{v,u}} MaxA(R_{v,u}, q, \bar{\kappa}') + wq^{d(u,v)} \quad (12)$$

$$p(L_{v,u}, q, \kappa_v) = \frac{1}{\sum_{i \in L_{v,u}} (\rho_i + \omega_i)} \sum_{i \in L_{v,u}} (\rho_i + \omega_i) q^{d(i,v)}$$

subject to  $\sum_{x \in T_v} \lambda_x \leq \kappa_v$  (13)

The placement in  $R_{v,u}$  is carried out in a similar way to the placement in  $T_v$  yielding the following equations :

$$MaxA(T_v, q, \bar{\kappa}) = \max \left\{ \frac{1}{\tau} \sum_{i \in T_v} (\rho_i + \omega_i) q^{d(i,v)}, \sigma_{L_{v,u}} p(L_{v,u}, q, \kappa_v) + \sigma_{T_u} MaxA(T_u, q, \bar{\kappa}) + \sigma_{R_{v,u}} MaxA(R_{v,u}, q, \bar{\kappa}') + wq^{d(u,v)} \right\}$$

$$\text{where } \forall v \in R_{v,u}, \kappa'_v = \kappa_v \text{ and } \kappa'_u = \kappa_u - \sum_{x \in L_{v,u}} \lambda_x. \quad (15)$$

The above equations compute the required number and placement of proxies to provide maximum availability.

**Theorem 2** The solution as formulated by equation (14) for finding the number of proxies required to maximize the availability for a tree  $T$  of size  $m$  can be computed in  $O(m^3)$  time.

**Proof:**  $MaxA(T_s, q, \bar{\kappa})$  is defined for at most  $m^2$  entries, and each entry requires  $O(m)$  time for its evaluation. Therefore, the total order of the algorithm is  $O(m(m^2)) = O(m^3)$ . ■

## 6. Properties of The Proposed Solution

This section presents some properties of the replicated system.

### 6.1 Reads and Writes for a Single Copy

We consider the probability of successfully reading/writing one copy of an object located at the target server  $s$ . We assume that the requests are distributed randomly over the node of the tree  $T$ . Let  $N_i(T_s)$  be the number of nodes in the tree  $T_s$  a distance  $i$  from  $s$ . The probability of a successful read request at node  $i$  is given by

$$P_r(T_s, R, q) = q^i. \quad (16)$$

Since the write is applied to the server only, the probability of a successful write is also

$$P_w(T_s, R, q) = q^i \quad (17)$$

Thus the availability of the system with a single copy placed at the target server  $s$  is given by

$$A(T_s, R, q) = \frac{(r+w)}{m} \sum_{i=1}^{m-1} N_i(T_s) q^i = \frac{1}{m} \sum_{i=1}^{m-1} N_i(T_s) q^i. \quad (18)$$

**Theorem 3.** For a sufficiently small probability of failure,  $p$ , a necessary condition for maximizing the availability of the system is to place the target server  $s$  at the median of the tree  $T$ .

**Proof:** When the probability of failure  $p$  is very close to 0, ( $q$  close to 1),  $A(T_s, R, q)$  is maximized when  $A'(T_s, R, q)$  is minimized. However,

$$A'(T_s, R, 1) = \frac{1}{m} \sum_{i=1}^{m-1} i N_i(T_s). \quad (19)$$

We wish to minimize the following expression:

$$f(1, s) = \sum_{i=1}^{m-1} i N_i(T_s) = 1N_1(T_s) + 2N_2(T_s) + 3N_3(T_s) + \dots \quad (20)$$

But  $f(1, s) = \sum_{v \in T_s} d(v, s)$ . Thus, the availability of the system is maximized when the target server  $s$  is the median of the tree  $T$ .

### 6.2 Reads for Multiple Copies

In this section, we assume that there are  $n > 1$  copies,  $c_1 \dots c_n$ , of a replicated object forming the residence set  $R$  and investigate the case of maximizing the probability,  $P_r(T_s, q, R)$ , of a successful read from a node  $v$  over different replication sets  $R$ .

The placement of nodes in  $R$  induces a subtree  $T_s^R$  that spans all nodes in  $R$  and whose leaves are some subset

of  $c_1 \dots c_n$ . The root of  $T_s^R$  is the target server  $s$  and its nodes are  $x_1, x_2, \dots, x_r$ ,  $|T_s^R| = l \geq n$  (see Fig. 4). Let us refer to  $T_s^R$  as a “pure” proxy subtree when  $l = n + 1$ , to mean that all the nodes  $T_s^R$  are proxies. Each node  $i \in T_s^R$  is the root of an attached subtree  $T_i$  of nodes none of which except  $i$  belong to  $T_s^R$ . For every node  $v \in T_s^R$ , let  $\alpha_v(q)$  be the probability that the node  $v$  successfully reads from the optimal proxy  $p(v, s)$ . In computing  $P_r(T_s, q, R)$ , we consider two cases: (1) the node  $v$  is in  $T_s^R$ , and (2) the node  $v$  is not in  $T_s^R$ .

1. If node  $v$  has a copy then  $\alpha_v(q) = 1$ . If  $v$  does not have a copy, then the read request from  $v$  has to travel in the direction of the root of  $T_s^R$  which is the target server  $s$  to find a proxy. This proxy could be  $s$  itself. The probability of a successful read is  $\alpha_v(q) = q^{d(v, p(v, s))}$ .
2. Node  $v$  is located in some subtree  $T_i$  for some  $i \in T_s^R$ . If  $N_j(T_i)$  denotes the number of nodes belonging to subtree  $T_i$  that are a distance  $j$  from  $i$ , the probability of reaching one of the proxies is the probability of reaching  $i$  times  $\alpha_i(q)$ .

Considering both cases (1) and (2) we can thus write the following (20):

$$P_r(T_s, q, R) = \frac{1}{m} \sum_{i=1}^l \alpha_i(q) + \frac{1}{m} \sum_{i=1}^l (\alpha_i(q) \sum_{j=1}^{m-1} N_j(T_i) q^j)$$

**Lemma 2.** When  $T_s^R$  is a pure proxy subtree then the probability of successful read is  $\alpha_i'(1) = 0$ ,  $\forall i \in T_s^R$ .

**Proof.** Since  $T_s^R$  is a pure proxy subtree then the probability of successful read  $\alpha_i(q) = 1$ , for any  $i \in T_s^R$  thus  $\alpha_i'(1) = 0$ . ■

**Theorem 4.** For  $n$  copies of a target server  $s$  grouped in a pure proxy subtree  $T_s^R$ , then for  $p$  sufficiently close to 0, a necessary condition for maximizing the probability of a successful read when  $n$  is close to 1 is to place the proxies such that  $\min_{T_s^R \in T_s} \sum_{v \in T_s^R} d(v, T_s^R)$ .

**Proof.** To maximize  $P_r(T_s, q, R)$  over all  $T_s^R$  when  $p$  is sufficiently close to 0, we need to find the placement of  $T_s^R$  that minimizes  $P_r(T_s, 1, R)$ . Since:

$$P_r(T_s, q, R) = (1/m) \sum_{i=1}^l \alpha_i(q) + (1/m) \sum_{i=1}^l (\alpha_i(q) \sum_{j=1}^{m-1} N_j(T_i) q^j)$$

we then have:

$$P_r'(T_s, q, R) = \frac{1}{m} \sum_{i=1}^l \alpha_i'(q) + \frac{1}{m} \sum_{i=1}^l [(\alpha_i'(q) \sum_{j=1}^{m-1} N_j(T_i) q^j) + \alpha_i(q) \sum_{j=1}^{m-1} j N_j(T_i) q^{j-1}] \quad (22)$$

Since  $\alpha_i'(1) = 0 \forall i \in T_s^R$ , we can write:

$$m P_r'(T_s, 1, R) = \sum_{i=1}^l \sum_{j=1}^{m-1} j N_j(T_i) = \sum_{v \in T_s^R} d(v, T_s^R) \blacksquare \quad (23)$$

### 6.3 Reads and Writes for Multiple Copies

Assuming that reads and writes along with multiple copies, the availability of the system is given by :

$$A(T_s, q, R) = r \left[ \frac{1}{m} \sum_{i=1}^l \alpha_i(q) + \frac{1}{m} \sum_{i=1}^l (\alpha_i(q) \sum_{j=1}^{m-1} N_i(T_i) q^j) \right] + w \left[ \frac{1}{m} \sum_{i=1}^l \gamma_i(q) + \frac{1}{m} \sum_{i=1}^l (\gamma_i(q) \sum_{j=1}^{m-1} N_i(T_i) q^j) \right] \quad (24)$$

When  $T_s^R$  is a pure proxy subtree, the availability of the system is maximized when the following expression is minimized:

$$A'(T_s, 1, R) = \frac{r}{m} \sum_{v \in T_s^R} d(v, T_s^R) + \frac{wn}{m} (m + \sum_{v \in T_s^R} d(v, T_s^R)) = \frac{r + wn}{m} \sum_{v \in T_s^R} d(v, T_s^R) + wn \quad (25)$$

**Remark:** When  $r \gg w$ , which is a realistic assumption for the Internet, then even if  $n$  is large,  $w \cdot n$  is small since  $w$  is small and the maximum availability is again obtained only if the nodes not belonging to  $T_s^R$  are not very distance from it; that if the quantity  $\sum_{v \in T_s^R} d(v, T_s^R)$  is

minimized. If  $w$  is large however, the availability is maximized if  $l$  is small ( $w(l-1)$  is small). In other words, if the write rate is large, the size of the replication tree has to be small and the proxies should be close to each other so that the probability of successful writes is high and thus the availability is high.

## 7. Performance Analysis

This section analyses the availability of the system as a function of the read write ratio and the probability of link failure. We consider the case of uniform request pattern and assume that all clients exhibit similar behaviour in that they issue the same amount read and write requests. In this case,  $\rho_i = \rho, \forall 0 \leq i \leq m$ , and  $r$  now becomes  $m\rho/\tau$ ,  $w$  becomes  $m\omega/\tau$  and expression (3) becomes

$$A(T_s, R, q) = \frac{m\rho}{\tau} \sum_{i=1}^m q^{d(i,p(i,s))} + \frac{m\omega}{\tau} \sum_{i=1}^m q^{d(i,p(i,s))+l-1} \quad (26)$$

The above expression can be re-written as

$$A(T_s, R, q) = rB + wC, \text{ where:} \quad (27)$$

$$B = \sum_{i=1}^m q^{d(i,p(i,s))} \quad (28)$$

$$C = \sum_{i=1}^m q^{d(i,p(i,s))+l-1}. \quad (29)$$

$B$  is the probability of success of a single read request over all the nodes and  $rB$  is the probability of success for the read rate  $r$ .  $C$  is the probability of success of a single write request over all nodes and  $wC$  is the probability of success for the write rate  $w$ . Since  $w = 1 - r$ ,  $(1 - r)C$  is the probability of success for write requests and thus the availability of the system is given by:  $A(T_s, q, R) = rB + (1 - r)C = (B - C)r + C$ . (30)

This depicts a line on the  $(A, r)$  plane with  $Y$ -intercept  $B=C$ , the probability of success of a write and slope  $S = B - C$  which is the difference between the probability of successes of a read and a write transactions over all nodes.

**Remark:** The minimum slope is attained for one element replica set  $B = C$  and  $S = 0$ .

In order to analyse the availability of the system with varying parameters, we have plotted  $A(T_s, q, R)$  against  $r, B$ , and  $C$ . In Fig. 4, the availability of the system is plotted against the read rate  $r$ , while varying the probability of a successful read,  $B$ , and maintaining a fixed value for the probability of a successful write  $C$  (e.g.  $C=0.9$ ). The results reveal that when  $B$  is low (e.g.  $B=0.5$ ), i.e. when the proxies are located farther away from the clients, the increase in the read rate decreases the availability of the system. However, as  $B$  increases (e.g. to  $B=0.9$ ), the increase of the read rate  $r$  increases

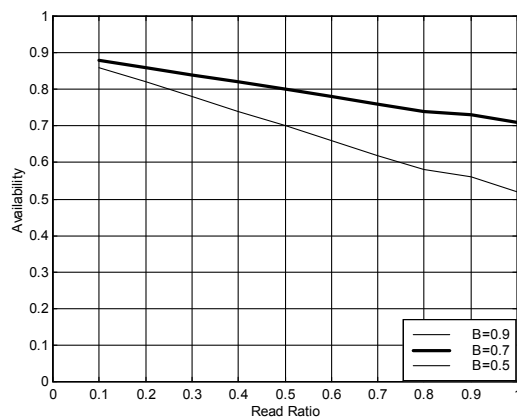


Fig. 4 Availability as a function of the read ratio.  $B = 0.9, 0.7, 0.5$

the availability of the system. Moreover, as  $r$  increases (i.e. the read activity increases), the availability of the system whose proxies are far away from the reading clients is higher compared to the system whose proxies are closer to the reading clients.

In Fig. 5, the opposite conclusions are reached. As the probability,  $C$ , of a successful write increases while maintaining a fixed value for  $B$  (e.g.  $B=0.9$ ), the availability increases with the increase in the read rate  $r$ . Furthermore, when the reading activity is low, the availability of the system varies with  $C$ ; being high when  $C$  is high. This is due to the fact that when  $C$  is high, a high writing activity yields a higher read-write success and thus a higher availability.

In Fig. 6 depicts availability results when  $B$  and  $r$  have been varied while  $C$  is fixed at 0.9. The results reveal that firstly the availability of the system increases with the probability  $B$ , as is expected. Secondly, the availability is higher for lower read rates. This is explained by the fact that when  $B$  is small, the read requests are originating from far-away clients. As the number of these requests increases, the availability of the system decreases. This explains the reason why for all value of  $B$ , availability is higher when  $r=0.5$  than when  $r=0.9$ . Finally, in Fig.7,  $B$  is fixed to 0.9 while  $C$  is varied from 0 to 1. For all reading rates, the availability increases with the increase in the rate. However, for low values of  $C$ , the availability is lower for lower reading rates (higher writing rates).

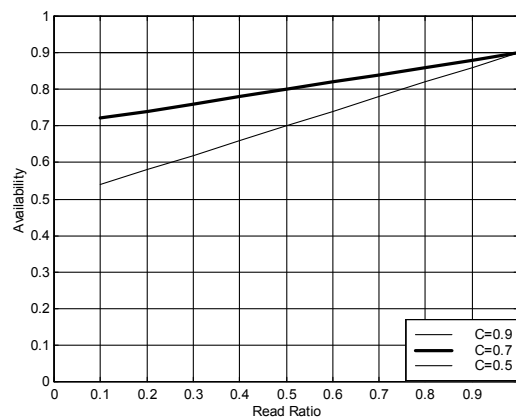
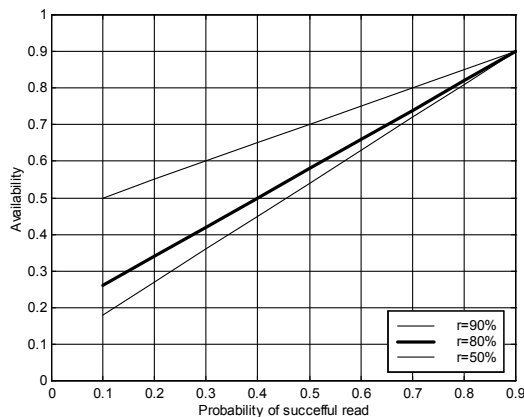
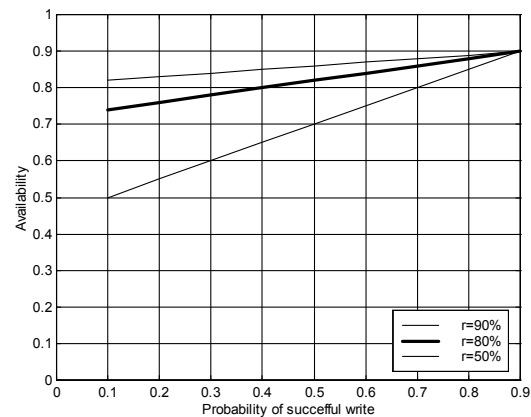


Fig. 5 Availability as a function of the read ratio  $C = 0.9, 0.7, 0.5$





**Fig. 6** availability as a function of the probability of successful reads  $C = 0.9$



**Fig. 7** availability as a function of the probability of successful writes  $B = 0.9$

## 8. Conclusion

This paper has investigated using dynamic programming the problem of optimally placing a set of proxies in the Web in order to maximize system availability. The set of proxies have been modelled as a tree network. Firstly, a formulation of the problem for finding the optimal placement of  $n$  proxies to maximize availability in the tree network consisting of  $m$  nodes rooted at the target server  $s$  has been presented and an algorithm that runs in  $O(m^3n^2)$  has also been suggested. Secondly, a formulation of the problem for finding the optimal required number and placement of proxies that maximizes availability has been proposed and an algorithm that runs in  $O(m^3)$  has also been proposed. Thirdly, the properties of the resulting proxy placement has been analysed and a study of the availability of the system has been conducted under both the uniform and non-uniform patterns for read/write request with varying read and write rates. The results of the analysis have revealed that in the case of uniform requests, (1) the availability of system increases as the read rate increases, (2) availability increases as the probability of success of read requests increases, (3) availability increases as the network size decreases, and (4) availability increases as the probability of success of writes increases.

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**Table 1.** Notation

Symbol	Meaning
$A(T_s, R, q)$	availability of the system
$d(i, j)$	distance between $i$ and $j$
$E$	set of Edges
$l$	size of the replication tree $T_s^R$
$m$	size of the tree
$n$	number of proxies
$N_j(T_i)$	number of nodes in $T_i$ a distance $j$ from $i$
$p(i, s)$	optimal Proxy for client $i$
$p$	probability of a link failure
$P_r(T_s, R, q)$	probability of success of a read transaction
$P_w(T_s, R, q)$	probability of success of a write request
$q$	probability of success
$r$	read rate
$T_s^R$	replication Tree for the target server $s$
$T_i$	tree rooted at $i$
$V$	set of vertices
$w$	write rate
$\rho_i$	number of reads of client $i$
$\omega_i$	number of writes by client $i$
$\tau$	total number of requests
$\lambda_v$	load imposed by node $v$
$\kappa_v$	capacity of a node $v$
$\gamma_v(q)$	probability of successfully writing from $v$ to $p(v, s)$ and propagating it to the proxies
$\alpha_v(q)$	probability that $v$ reads successfully from $p(v, s)$